

# Human - AI Interaction

Reflecting on freedom to reason about responsibility



I.R.C.C.S. Ospedale  
Galeazzi - Sant'Ambrogio  
Gruppo San Donato



Modeling Uncertainty, Decisions and Interaction  
Laboratory



Artificial  
Intelligence  
and  
Intelligent  
Systems  
ai National Lab



Prof. Ing. Federico Cabitza, PhD

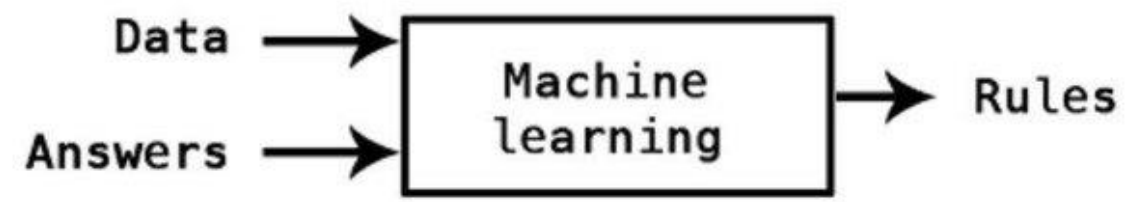
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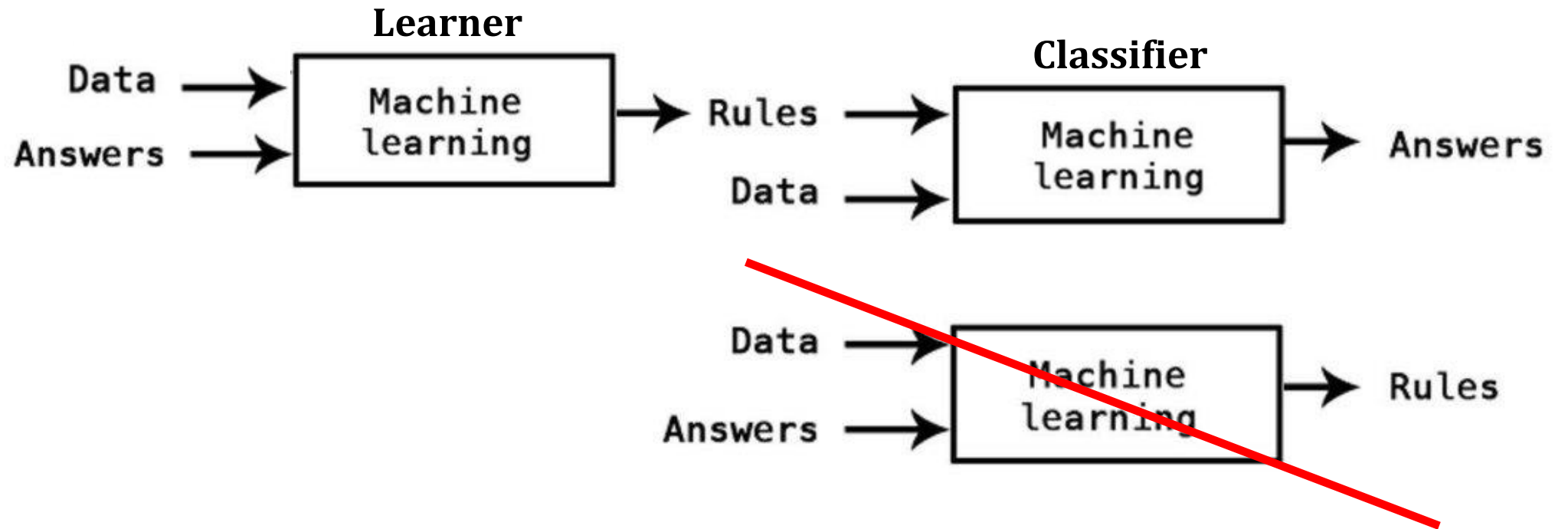
Università degli Studi di Milano-Bicocca

federico.cabitza @ unimib.it

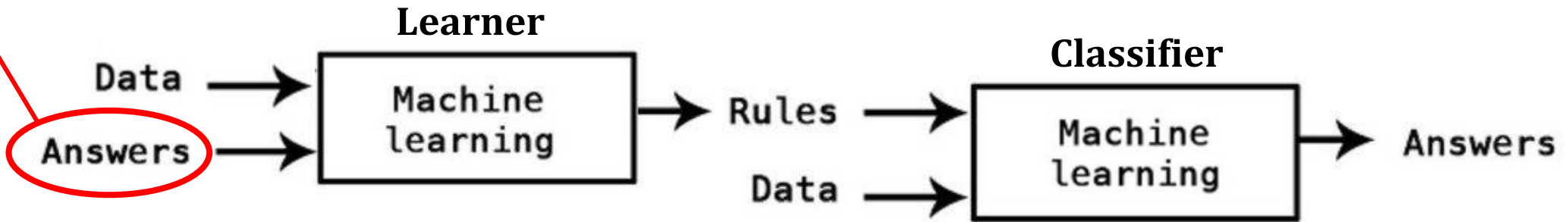
@cabitza







**Reliability**  
**Completeness\***



\*: data minimisation or its double. “adequate, relevant and limited to what is necessary”. They must be representative (various) and enough.

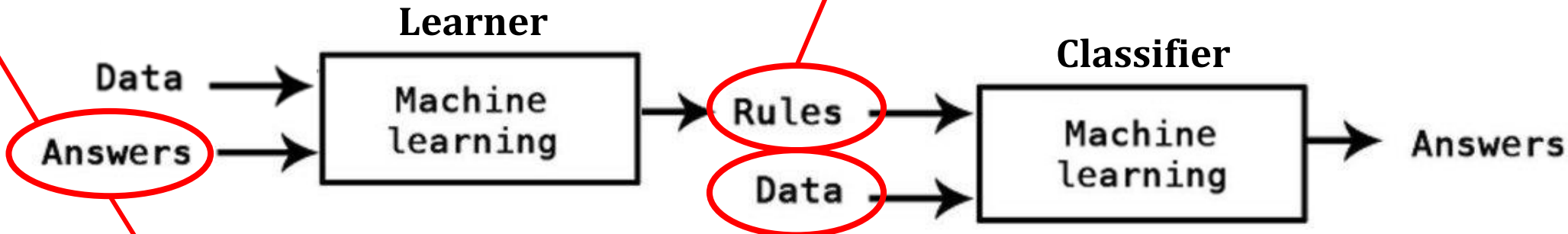
**Reliability**  
**Completeness**



**Similarity**  
**Representativeness**

**Reliability**  
**Completeness**

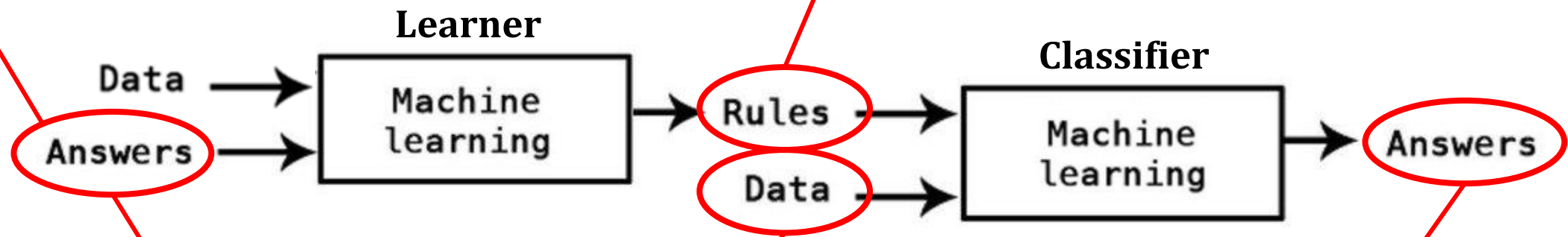
**Transparency**  
**Comprehensibility**



**Similarity**  
**Representativeness**

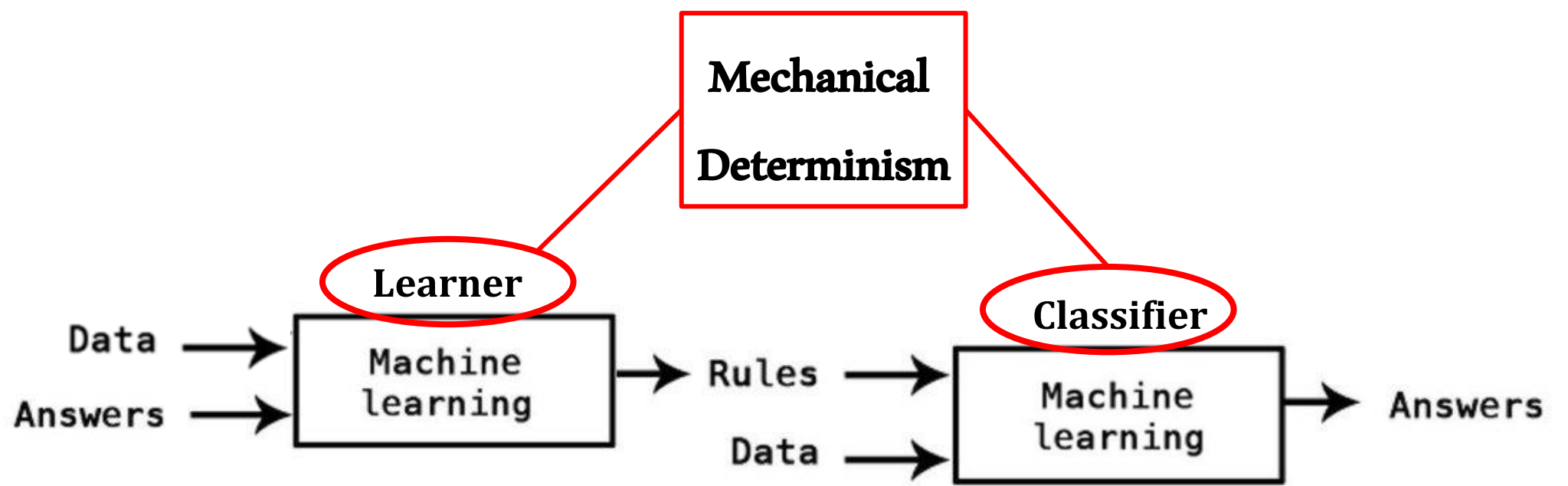
**Reliability**  
**Completeness**

**Transparency**  
**Comprehensibility**



**Similarity**  
**Representativeness**

**Explainability**  
**Reliability**  
**(dependability)**





NOTE In jurisprudence, autonomy refers to the capacity for self-governance. In this sense, also, “autonomous” is a misnomer as applied to automated AI systems, because even the most advanced AI systems are not self-governing. Rather, AI systems operate based on algorithms and otherwise obey the commands of operators. For these reasons, this document does not use the popular term autonomous to describe automation<sup>[30]</sup>.

**Table 1 — Relationship between autonomy, heteronomy and automation**



**INTERNATIONAL  
STANDARD**

**ISO/IEC  
FDIS  
22989**

		<b>Level of automation</b>	<b>Comments</b>
Automated system	Autonomous	6 - Autonomy	The system is capable of modifying its intended domain of use or its goals without external intervention, control or oversight.
	Heteronomous	5 - Full automation	The system is capable of performing its entire mission without external intervention
		4 - High automation	The system performs parts of its mission without external intervention
		3 - Conditional automation	Sustained and specific performance by a system, with an external agent being ready to take over when necessary
		2 - Partial automation	Some sub-functions of the system are fully automated while the system remains under the control of an external agent
		1 - Assistance	The system assists an operator
		0 - No automation	The operator fully controls the system

NOTE In jurisprudence, autonomy refers to the capacity for self-governance. In this sense, also, “autonomous” is a misnomer as applied to automated AI systems, because even the most advanced AI systems are not self-governing. Rather, AI systems operate based on algorithms and otherwise obey the commands of operators. For these reasons, this document does not use the popular term autonomous to describe automation<sup>[30]</sup>.

Relevant criteria for the classification of a system on this spectrum include the following:

- the presence or absence of external supervision, either by a human operator (“human-in-the-loop”) or by another automated system;
- the system’s degree of situated understanding, including the completeness and operationalizability of the system’s model of the states of its environment, and the certainty with which the system can reason and act in its environment;
- the degree of reactivity or responsiveness, including whether the system can notice changes in its environment, whether it can react to changes, and whether it can stipulate future changes;

# Autonomous Cars



## Autonomous Cars

Regulation (EU) 2019/2144  
 "automated vehicle", "fully automated vehicle": "designed and constructed to move autonomously without any driver supervision"

What does the human in the driver's seat have to do?

SAE LEVEL 0™	SAE LEVEL 1™	SAE LEVEL 2™	SAE LEVEL 3™	SAE LEVEL 4™	SAE LEVEL 5™
You <u>are</u> driving whenever these driver support features are engaged – even if your feet are off the pedals and you are not steering			You <u>are not</u> driving when these automated driving features are engaged – even if you are seated in "the driver's seat"		
You must constantly supervise these support features; you must steer, brake or accelerate as needed to maintain safety			When the feature requests, you must drive	These automated driving features will not require you to take over driving	

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What do these features do?

These are driver support features	These are automated driving features
<p>These features are limited to providing warnings and momentary assistance</p> <p>These features provide steering <b>OR</b> brake/acceleration support to the driver</p> <p>These features provide steering <b>AND</b> brake/acceleration support to the driver</p>	<p>These features can drive the vehicle under limited conditions and will not operate unless all required conditions are met</p> <p>This feature can drive the vehicle under all conditions</p>
<ul style="list-style-type: none"> <li>• automatic emergency braking</li> <li>• blind spot warning</li> <li>• lane departure warning</li> </ul>	<ul style="list-style-type: none"> <li>• lane centering <b>OR</b> adaptive cruise control</li> <li>• lane centering <b>AND</b> adaptive cruise control at the same time</li> <li>• traffic jam chauffeur</li> <li>• local driverless taxi</li> <li>• pedals/steering wheel may or may not be installed</li> <li>• same as level 4, but feature can drive everywhere in all conditions</li> </ul>

Example Features

**Autonomous Cars**

**Lethal Autonomous  
Weapon Systems (LAWS)**



Mariupol theatre; children

**Autonomous Cars**

**Lethal Autonomous  
Weapon Systems (LAWS)**

**Can these machines decide  
to run over a pedestrian or  
spare a civilian target  
?**

I'm sorry Dave, I'm afraid I can't do that



αὐτονομία



αὐτονομία

τά νόμιμα

Habits and customs

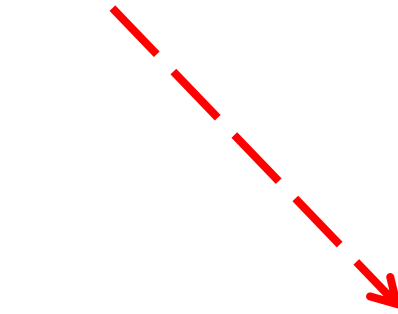
αὐτονομία

Rules and laws

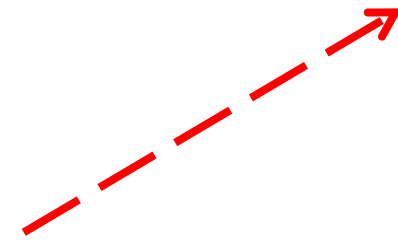
Νέμω, I distribute  
Θεσμοί,  
τίθημι, I set out,  
assign

# αὐτονομία

Habits and customs



judicial decisions from previous cases: case law  
νομολογία



Rules and laws

αὐτονομία

αὐτονομία

Who? What?

# αὐτονομία

A material object, an artifact, a digital device? Or the connected socio-technical system? (technology as always technology-in-use within a community of competent actors)

# αὐτονομία

A material object, an artifact, a digital

device, a community

community

community

(community

community

community

community of

community of competent **actors**)

An actor (actant) an entity that acts, and in so doing, it modifies another entity. It does not pre-exist this relation of influence, without the network (rhizome?) binding it to other nodes. Even more, the actor, not as a stable, firm entity, but as a more-or-less temporary assemblage, as a «stream».

# αὐτονομία

A material object, an

artificially constructed  
device (technology) that  
constitutes a community of  
competent actors)  
Technology as  
«instrumentation of human  
action» [1] or even as  
“human behavior” [2] that  
transforms society and the  
environment.  
Structured/ing behavior that  
exerts agency.

community of  
competent **actors**)



[1] Johnson, Deborah (1985). Computer ethics. *Englewood Cliffs (NJ)*, 10, 102926.

[2] Devon, Richard and Van de Poel Ibo (2004) Design Ethics: The Social Ethics Paradigm. *International Journal of Engineering Education*

# αὐτονομία

From  
«humans in the loop»  
To  
«computers in the group»

A material object, an

artificially designed  
device (technology)  
(technology)  
used by a  
community of  
competent actors)

Technology as  
«instrumentation of human  
action» [1] or even as  
“human behavior” [2] that  
transforms society and the  
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Structured/ing behavior that  
exerts agency.

community of  
competent **actors**)



The need to move away from agential-AI:  
Empirical investigations, useful concepts and  
open issues

Federico Cabitza <sup>a</sup>, Andrea Campagner <sup>a,2</sup> , Carla Simone <sup>b</sup>

αὐτονομία

From  
«humans in the loop»  
To  
«computers in the group»

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